

**CERTIFICATE OF MAILING**

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on

Karen E. Klumas  
Reg. No. 31,070  
Attorney for Applicant(s)

Date of  
Signature

**PATENTS**

**IN THE UNITED STATES PATENT AND TRADEMARK OFFICE**

Customer No.: 000201  
Attorney Docket No.: J3515(C)  
Applicant: Clarkson et al.  
Patent No.: US 6,793,914  
For: Anti-Microbial Compositions

Group: 1616  
Examiner: John D. Pak  
Englewood Cliffs, New Jersey 07632

**STATEMENT OF NON-DECEPTIVE INTENT ON THE PART OF THE PERSON BEING ADDED**  
**BY AMENDMENT OF INVENTORSHIP UNDER 37 C.F.R. § 1. 48(a)**

I, Andrew Charles COXON, the person who is being added as an inventor by the supplemental petition being submitted to correct the inventorship of this patent do hereby declare that the inventorship error in failing to include my name as an inventor on this patent occurred without any deceptive intention on my part.

*Andrew Charles COXON*  
Andrew Charles COXON

*1/22/2004*

Michael Glenn Walker  
'Glenthorne'  
Little Storeton Lane  
Storeton, Wirral CH63 6HP  
United Kingdom  
NOTARY PUBLIC



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**PATENTS**

**IN THE UNITED STATES PATENT AND TRADEMARK OFFICE**

Patent: U.S. 6,793,914  
Date of Issue: September 21, 2004  
Name of Patentee: Clarkson et al.  
Title of Invention: Anti-Microbial Compositions

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Cliffs, New Jersey 07632

**STATEMENT UNDER 37 C.F.R. § 1.324(b)(2) ACCOMPANYING PETITION UNDER 37  
C.F.R. § 1.324 TO CORRECT INVENTORSHIP IN AN ISSUED PATENT**

I, Katrin Dagmar Wire (nee CLARKSON) a named inventor in the above identified patent, have been informed of the petition being filed to correct inventorship in this patent, and do hereby declare that I have no disagreement in regard to adding Andrew Charles Coxon and Harry Saul Winchell as inventors of this patent.

*Katrin Dagmar Wire (nee CLARKSON)*  
Katrin Dagmar Wire (nee CLARKSON)

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**PATENTS**

**IN THE UNITED STATES PATENT AND TRADEMARK OFFICE**

Patent: U.S. 6,793,914  
Date of Issue: September 21, 2004  
Name of Patentee: Clarkson et al.  
Title of Invention: Anti-Microbial Compositions

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**STATEMENT UNDER 37 C.F.R. § 1.324(b)(2) ACCOMPANYING PETITION UNDER 37  
C.F.R. § 1.324 TO CORRECT INVENTORSHIP IN AN ISSUED PATENT**

I, Andrew Sjaak LANDA a named inventor in the above identified patent, have been informed of the petition being filed to correct inventorship in this patent, and do hereby declare that I have no disagreement in regard to adding Andrew Charles Coxon and Harry Saul Winchell as inventors of this patent.

*Andrew Sjaak LANDA*  
Andrew Sjaak LANDA

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Reg. No. 31,070  
Attorney for Applicant(s)

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Signature

**PATENTS**

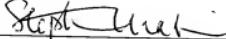
**IN THE UNITED STATES PATENT AND TRADEMARK OFFICE**

Patent: U.S. 6,793,914  
Date of Issue: September 21, 2004  
Name of Patentee: Clarkson et al.  
Title of Invention: Anti-Microbial Compositions

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**STATEMENT UNDER 37 C.F.R. § 1.324(b)(2) ACCOMPANYING PETITION UNDER 37  
C.F.R. § 1.324 TO CORRECT INVENTORSHIP IN AN ISSUED PATENT**

I, Stephen Anthony MAKIN a named inventor in the above identified patent, have been informed of the petition being filed to correct inventorship in this patent, and do hereby declare that I have no disagreement in regard to adding Andrew Charles Coxon and Harry Saul Winchell as inventors of this patent.

  
\_\_\_\_\_  
Stephen Anthony MAKIN

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Attorney for Applicant(s)

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**PATENTS**

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Patent: U.S. 6,793,914  
Date of Issue: September 21, 2004  
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**STATEMENT UNDER 37 C.F.R. § 1.324(b)(2) ACCOMPANYING PETITION UNDER 37  
C.F.R. § 1.324 TO CORRECT INVENTORSHIP IN AN ISSUED PATENT**

I, Axel VÖLKER a named inventor in the above identified patent, have been informed of the petition being filed to correct inventorship in this patent, and do hereby declare that I have no disagreement in regard to adding Andrew Charles Coxon and Harry Saul Winchell as inventors of this patent.

  
Axel VÖLKER

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on

\_\_\_\_\_  
Attorney  
Reg. No. 31,070  
Attorney for Applicant(s)

\_\_\_\_\_  
Date of  
Signature

**PATENTS**

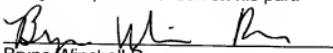
**IN THE UNITED STATES PATENT AND TRADEMARK OFFICE**

Patent: U.S. 6,793,914  
Date of Issue: September 21, 2004  
Name of Patentee: Clarkson et al.  
Title of Invention: Anti-Microbial Compositions

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**STATEMENT OF NON-DECEPTIVE INTENT UNDER 37 C.F.R. § 1.324(b)(1) ON THE PART OF**  
**THE PERSON BEING ADDED BY PETITION UNDER 37 C.F.R. § 1.324 TO CHANGE**  
**INVENTORSHIP IN AN ISSUED PATENT**

I, Bryna Winchell Ross, co-executrix of the estate of Harry Saul Winchell, acting on behalf of Harry Saul Winchell (deceased), the person who is being added as an inventor by the petition being submitted to correct inventorship of this patent, do hereby declare that, to the best of my information and belief, the inventorship error in failing to include the name of Harry Saul Winchell as an inventor on this patent occurred without any deceptive intention on his part.

  
Bryna Winchell Ross  
on behalf of Harry Saul Winchell (deceased)

I, Bari Winchell, co-executrix of the estate of Harry Saul Winchell, acting on behalf of Harry Saul Winchell (deceased), the person who is being added as an inventor by the petition being submitted to correct inventorship of this patent, do hereby declare that, to the best of my information and belief, the inventorship error in failing to include the name of Harry Saul Winchell as an inventor on this patent occurred without any deceptive intention on his part.

  
Bari Winchell  
on behalf of Harry Saul Winchell (deceased)

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Karen E. Klumas  
Reg. No. 31,070  
Attorney for Applicant(s)

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Signature

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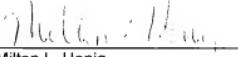
**IN THE UNITED STATES PATENT AND TRADEMARK OFFICE**

Patent: U.S. 6,793,914  
Date of Issue: September 21, 2004  
Name of Patentee: Clarkson et al.  
Title of Invention: Anti-Microbial Compositions

Mail Stop: PETITION  
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P.O. Box 1450  
Alexandria, VA 22313-1450

**CONSENT OF ASSIGNEE TO CHANGE OF INVENTORSHIP IN PATENT (37 C.F.R. §1.324(b)(3))**

Unilever Home & Personal Care USA, Division of Conopco, Inc., owner by assignment of the above patent, hereby consents to the amendment of inventorship of this patent as requested in the accompanying papers. Attached is a "STATEMENT UNDER 37 CFR 3.37(b)," establishing the right of the assignee to take action in this case.

  
Milton L. Honig  
Assistant Secretary  
Conopco, Inc. d/b/a UNILEVER

MLH/sa  
201-894-2403

Under the Paperwork Reduction Act of 1995, no persons are required to respond to a collection of information unless it displays a valid OMB control number.

**STATEMENT UNDER 37 CFR 3.73(b)**Applicant/Patent Owner: Unilever Home & Personal Care USA, a Division of Conopco, inc.Application No./Patent No.: U.S. 6,793,914Filed/Issue Date: September 21, 2004Titled: **ANTIMICROBIAL COMPOSITIONS**

Unilever Home &amp; Personal Care USA

, a **Division of Conopco, Inc.**

(Name of Assignee)

(Type of Assignee, e.g., corporation, partnership, university, government agency, etc.)

states that it is:

- the assignee of the entire right, title, and interest in;
- an assignee of less than the entire right, title, and interest in  
(The extent (by percentage) of its ownership interest is \_\_\_\_\_ %); or
- the assignee of an undivided interest in the entirety of (a complete assignment from one of the joint inventors was made) the patent application/patent identified above, by virtue of either:

A.  An assignment from the inventor(s) of the patent application/patent identified above. The assignment was recorded in the United States Patent and Trademark Office at Reel 11914, Frame 0914 \*, or for which a copy therefore is attached. \* and Reel 024757 / Frame 0220

**OR**

B.  A chain of title from the inventor(s), of the patent application/patent identified above, to the current assignee as follows:

1. From: \_\_\_\_\_ To: \_\_\_\_\_

The document was recorded in the United States Patent and Trademark Office at  
Reel \_\_\_\_\_, Frame \_\_\_\_\_, or for which a copy thereof is attached.

2. From: \_\_\_\_\_ To: \_\_\_\_\_

The document was recorded in the United States Patent and Trademark Office at  
Reel \_\_\_\_\_, Frame \_\_\_\_\_, or for which a copy thereof is attached.

3. From: \_\_\_\_\_ To: \_\_\_\_\_

The document was recorded in the United States Patent and Trademark Office at  
Reel \_\_\_\_\_, Frame \_\_\_\_\_, or for which a copy thereof is attached.

Additional documents in the chain of title are listed on a supplemental sheet(s).

As required by 37 CFR 3.73(b)(1)(i), the documentary evidence of the chain of title from the original owner to the assignee was, or concurrently is being, submitted for recordation pursuant to 37 CFR 3.11.

[NOTE: A separate copy (i.e., a true copy of the original assignment document(s)) must be submitted to Assignment Division in accordance with 37 CFR Part 3, to record the assignment in the records of the USPTO. See MPEP 302.08]

The undersigned (whose title is supplied below) is authorized to act on behalf of the assignee.

Signature

Milton L. Honig

Printed or Typed Name

December 22, 2010

Date

Assistant Secretary

Title

This collection of information is required by 37 CFR 3.73(b). The information is required to obtain or retain a benefit by the public which is to file (and by the USPTO to process) an application. Confidentiality is governed by 35 U.S.C. 122 and 37 CFR 1.11 and 1.14. This collection is estimated to take 12 minutes to complete, including gathering, preparing, and submitting the completed application form to the USPTO. Time will vary depending upon the individual case. Any comments on the amount of time you require to complete this form and/or suggestions for reducing this burden, should be sent to the Chief Information Officer, U.S. Patent and Trademark Office, U.S. Department of Commerce, P.O. Box 1450, Alexandria, VA 22313-1450. DO NOT SEND FEES OR COMPLETED FORMS TO THIS ADDRESS. SEND TO: Commissioner for Patents, P.O. Box 1450, Alexandria, VA 22313-1450.

ASSIGNMENT

WHEREAS, I/WE,

Katrin Dagmar WIRE (nee CLARKSON) of 56 Withert Avenue, Higher Bebington, Wirral, United Kingdom CH63 5NF; Andrew Sjaak LANDA of 3300 Solitude Lane, Appleton, Wisconsin 54915; Stephen Anthony MAKIN of 37 Mount Pleasant Close, Lightwater, Surrey GU18 5TR, United Kingdom; Axel VOLKER of Rue du Vieux Pont 116, Pougny 01550, France; Harry Saul Winchell (deceased) on whose behalf this Assignment is entered into by his co-executrices Bryna WINCHELL ROSS of 1 Via Oneg, Lafayette, California 94549 and Bari WINCHELL of 1935 Lakeshire Drive, Walnut Creek, California 94595 and Andrew Charles COXON, 6 Limehurst Grove, Bromborough, Wirral Merseyside, CH62 6EP, United Kingdom

have invented certain improvements in

**ANTI-MICROBIAL COMPOSITIONS**

(bearing Attorney's Docket Number J3515(C)) which is found in Serial No. 09/764,735 filed on January 17, 2001, now U.S. Patent No. 6,793,914:

AND WHEREAS, **UNILEVER, HOME & PERSONAL CARE USA, DIVISION OF CONOPCO, INC.**, a corporation of NEW YORK, having a place of business at **800 Sylvan Avenue, Englewood Cliffs, New Jersey, 07632, United States of America** desires to acquire the entire right, title and interest in and to the aforesaid invention, and to said United States application or any reissues, divisions, continuation, or continuation-in part thereof, and in any Letters Patent which may issue therefrom;

NOW, THEREFORE, in consideration of one dollar (\$1.00) and other good and valuable consideration in hand paid, the receipt and sufficiency of which is hereby acknowledged, I/We, the undersigned, hereby sell, assign and transfer to the aforesaid **UNILEVER, HOME & PERSONAL CARE USA, DIVISION OF CONOPCO, INC.**, its successors and assigns, the entire right, title and interest in and to the said application and invention therein disclosed for the United States, and in and to any and all divisional, continuation, continuation-in-part, reissue or other applications describing said invention or improvements thereon, including but not limited to U.S. Serial No. 10/895,179, filed on July 20, 2004, and in and to any and all Letters Patent of the United States which may issue from said applications or any of them, including but not limited to U.S. Patent No. 6,793,914, the same to be held by and for the sole and exclusive use and benefit of the said **UNILEVER, HOME & PERSONAL CARE USA, DIVISION OF CONOPCO, INC.**, its successors and assigns.

AND I/We do hereby authorize and request the Commissioner of Patents to issue the said Letters Patent of the United States to said **UNILEVER, HOME & PERSONAL CARE USA, DIVISION OF CONOPCO, INC.**, as the ASSIGNEE of the entire right, title and interest in and to the same.

AND I/We do hereby agree to assist said **UNILEVER, HOME & PERSONAL CARE USA, DIVISION OF CONOPCO, INC.**, in the prosecution of any and all said applications and in the prosecution of any and all interferences or other proceedings which may arise involving said invention, and to execute and deliver any powers of attorney, affidavits, assignments, applications, reissues, documents, or other written instruments which, in the opinion of counsel for said **UNILEVER, HOME & PERSONAL CARE USA, DIVISION OF CONOPCO, INC.**, its successors and assigns, may be necessary in this country fully to secure to said **UNILEVER, HOME & PERSONAL CARE USA, DIVISION OF CONOPCO, INC.**, its successors and assigns, any and all said applications, inventions, improvements, Letters Patent, rights, titles and interests hereby sold, assigned, transferred and conveyed.

IN WITNESS WHEREOF, I have hereunto set my hand and seal this day  
of , 2009.

STATE OF \_\_\_\_\_ ) (Seal)  
COUNTY OF \_\_\_\_\_ ) Katrin Dagmar WIRE (nee CLARKSON)

On this day of , 2009 before me came Katrin Dagmar Wire (nee Clarkson) to me known to be the individual described in and who executed the foregoing instrument, and acknowledged that he/she executed the same.

---

Notary Public

IN WITNESS WHEREOF, I have hereunto set my hand and seal this day  
of . 2009.

STATE OF \_\_\_\_\_ ) \_\_\_\_\_ (Seal)  
COUNTY OF \_\_\_\_\_ ) Andrew Siaak LANDA

On this day of , 2009 before me came Andrew Sjaak LANDA to me known to be the individual described in and who executed the foregoing instrument, and acknowledged that he/she executed the same.

Notary Public

STATE OF \_\_\_\_\_ ) \_\_\_\_\_ (Seal)  
COUNTY OF \_\_\_\_\_ ) Stephen Anthony MAKIN

On this day of , 2009 before me came Stephen Anthony MAKIN to me known to be the individual described in and who executed the foregoing instrument, and acknowledged that he/she executed the same.

Notary Public

On this day of , 2009 before me came Axel VOLKER to me known to be the individual described in and who executed the foregoing instrument, and acknowledged that he/she executed the same.

---

Notary Public

IN WITNESS WHEREOF, I have hereunto set my hand and seal this day  
of . 2009.

STATE OF \_\_\_\_\_ ) \_\_\_\_\_ (Seal)  
COUNTY OF \_\_\_\_\_ ) Bryna WINCHELL ROSS (co-executrix)  
for Harry Saul Winchell (deceased)

On this day of , 2009 before me came Bryna WINCHELL ROSS for Harry Saul Winchell (deceased) to me known to be the individual described in and who executed the foregoing instrument, and acknowledged that he/she executed the same.

---

Notary Public

IN WITNESS WHEREOF, I have hereunto set my hand and seal this day  
of January, 2009.

STATE OF \_\_\_\_\_ ) (Seal)  
COUNTY OF \_\_\_\_\_ )  
Bari WINCHELL (co-executrix)  
for Harry Saul Winchell (deceased)

On this day of , 2009 before me came Bari WINCHELL for Harry Saul Winchell (deceased) to me known to be the individual described in and who executed the foregoing instrument, and acknowledged that he/she executed the same.

Notary Public

IN WITNESS WHEREOF, I have hereunto set my hand and seal this 22nd day  
of MARCH, 2010.

STATE OF ENGLAND)  
COUNTY OF MERSEYSIDE

Andrew Charles Coxon (Seal)  
Andrew Charles COXON

On this 22 day of MARCH, 2010 before me came Andrew Charles COXON to  
me known to be the individual described in and who executed the foregoing instrument,  
and acknowledged that he/she executed the same.

M. G. Walker  
Notary Public

Michael Glenn Walker  
'Glenthorne'  
Little Storeton Lane  
Storeton, Wirral CH63 6HP  
**NOTARY PUBLIC**

